

Moving Upstream 2020 – Cover Paper

Purpose of paper	<p>This paper explains the purpose of the Moving Upstream Report and how it supports the GDC's wider publications and reporting.</p> <p>It explains our aim to use the report to update on the progress made against the proposals set out in 'Shifting the balance: a better, fairer system of dental regulation' (STB) and to highlight outstanding work that has been integrated into the new corporate strategy and costed corporate plan.</p> <p>This will provide an effective end point for the STB work programme and support the change of brand and narrative to the new strategy.</p>
Action	Review and agree the proposed approach to the production of the Moving Upstream Report
Corporate Strategy 2016-19	<i>Performance 3:</i> To be transparent about our performance so that the public, patients, professionals and our partners can have confidence in our approach
Business Plan 2018	<u>Theme 2-</u> Developing our model of upstream regulation
Corporate Strategy 2020-2022	<u>Strategic aim 1</u>
Decision Trail	<ul style="list-style-type: none"> – Initial paper laying out the proposed approach for the Moving Upstream Report and Conference 2020 presented to SLT in July 2019. – Structure and content sections agreed by SLT and PRB in September 2019. – First draft reviewed by Council and SLT in September 2019. – Second draft reviewed by SLT in November 2019.
Next steps	Subject to the discussions and decisions made at Council's publications workshop and following the review of this paper, the final text of the Moving Upstream Report will be submitted to Council for sign off at their January meeting. The report will then be designed and published in advance of the Moving

	Upstream Conference on 12 February 2020.
Recommendations	Council is asked to: <ul style="list-style-type: none"> • review and agree the proposed approach to the Moving Upstream Report
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Appendices	Not applicable

1. Executive summary

- 1.1 This paper explains the purpose of the Moving Upstream Report and how its production supports the GDC's wider publications and reporting.
- 1.2 Outlined below are the aims and objectives for the report, which are to update stakeholders on the progress made against the proposals set out in 'Shifting the balance: a better, fairer system of dental regulation' (STB). It will also identify the outstanding work that has now been integrated into the new Corporate Strategy 2020-2022 and Costed Corporate Plan 2020. The report will provide an effective end point for the STB work programme and support the change of brand and narrative supporting the new strategy.
- 1.3 From 2021 onwards, we expect Moving Upstream will be superseded by publications linked to the corporate plan which can both review the achievements of the previous year and look ahead to the plans for the year to come.

2. Introduction and background

- 2.1 In July 2019 SLT agreed that the Moving Upstream Report 2020 should replicate the structure of the 2019 report, that being to highlight the progress we have made in enabling and supporting the transformation of dental regulation, set against the backdrop of the emerging issues and challenges facing both us and the profession.
- 2.2 The outline structure and content sections were then agreed by SLT and PRB in September 2019. SLT and Council reviewed the first draft of the report at their September meetings and SLT reviewed the second draft at their meeting in November.
- 2.3 Feedback received during these meetings included the need to be clear of the purpose of the report, to describe how it supports our wider publications, and to highlight its context and background with regards to Shifting the Balance and our new Corporate Strategy and Costed Corporate Plan.
- 2.4 We have taken this feedback on board when creating the next version of the publication and will present this at the January 2020 Council meeting.

3. Purpose of the Moving Upstream Report 2020

- 3.1 The purpose of the Moving Upstream report and how it supports our wider suite of publications will be discussed by Council as part of their workshop on Wednesday 4 December 2020.
- 3.2 The aim of the previous Moving Upstream Report, which garnered widespread support from the profession when published in January 2019, was to update on progress made against the proposals set out in 'Shifting the balance: a better, fairer system of dental regulation' (STB). The report was structured around, and provided key updates for work on, the four pillars of STB.
- 3.3 The report also facilitated and encouraged discussion and debate among the professions as to how we can work together to support the development of upstream regulation and move the focus of our work from intervention to prevention. This was a key focus of the Moving Upstream Conference 2019 and now forms part of our wider engagement strategy.
- 3.4 Therefore, we believe there is significant benefit in replicating this approach with the Moving Upstream Report 2020. This information has not been produced elsewhere and without a final report some of the significant progress made will go unreported to dental professions, patient representatives and key stakeholders.
- 3.5 In following years, the situation will be different. As we begin to report against the new corporate plan and demonstrate progress towards our strategic aims, the need for a separate free-standing publication on Moving Upstream will fall away.
- 3.6 The Moving Upstream Report 2020 therefore provides the opportunity to draw STB to a close, while also providing a clear understanding of our work programme and priorities moving forward in our new Corporate Strategy. In summary, our overall objective for the report is to:
 - Report the significant progress made against the proposals set out in STB and demonstrate how ongoing workstreams have been incorporated into our new Corporate Strategy, so that stakeholders have a clear understanding of our progress and work programme.

4. Audience

- 4.1 The Moving Upstream Report 2020 has been developed for the following target audiences:
 - Dental professionals, students and their representative bodies
 - Education, training and CPD providers
 - Dental indemnifiers, and
 - Other relevant regulatory bodies.

5. Key messages

- 5.1 We propose the Moving Upstream Report 2020 should again be structured around, and highlight progress made against, the four pillars of STB:
 - Moving upstream,
 - First-tier complaints,
 - Working with partners and
 - Refocusing fitness to practise.
- 5.2 Progress made against these areas will be used to indicate how we have continued to develop the plans laid out in STB.
- 5.3 We will also detail how any outstanding work is being integrated into the new corporate strategy and explain how we plan to report progress on the costed corporate plan.

6. Risks and considerations

Communications

Risk – Poor product / lack of pick-up

- The final report will be closely scrutinised by our partners and critics, so it is imperative that we produce a high-quality product.

Mitigation

- The Interim Head of Communications and Engagement and Executive Director, Strategy is over-seeing the report's development and monitoring and reviewing progress.
- An internal stakeholder group has been created to ensure quality of content.
- Council will have sight of the final draft of the report before it is signed off.

Equality and Diversity

- We have not carried out equality impact assessment (EIA).

Legal

- We do not believe there are any legal implications
- This paper does not relate to something you can do under the Dentists Act 1984 (as amended).

Delegations

- EMT
- The Chair

Policy

- Not aware that this proposal will impact GDC policy decision-making, or existing policies.

Resources

- The cost implications for this proposal are:
 - Design and print costs
- There is funding allocated in the communications budget for this activity.

National

- The report will be UK-wide in its ambit.

Risks on registers

- Not aware of any link to risks on either the strategic or an operational risk register.

7. Recommendations

Council is asked to:

- review and agree the proposed approach to the Moving Upstream Report

8. Appendices

Not applicable